

# COVER SHEET

SEC Registration Number

6	2	8	9	3					
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Company Name

R	O	C	K	W	E	L	L		L	A	N	D		C	O	R	P	O	R	A	T	I	O	N		A	N	D	
S	U	B	S	I	D	I	A	R	I	E	S																		

Principal Office (No./Street/Barangay/City/Town/Province)

2	F	,	8		R	O	C	K	W	E	L	L	,		H	I	D	A	L	G	O		D	R	I	V	E		
R	O	C	K	W	E	L	L		C	E	N	T	E	R	,		M	A	K	A	T	I		C	I	T	Y		

Form Type

Certificate of Attendance  
for Corporate  
Governance Seminar

Department requiring the report

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Secondary License Type, If Applicable

N	/	A
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## COMPANY INFORMATION

Company's Email Address

ellena@rockwell.com.ph

Company's Telephone Number/s

7-793-0088

Mobile Number

N/A

No. of Stockholders

46,370 (as 30 Nov 2019)

Annual Meeting  
Month/Day

May 29, 2019

Fiscal Year  
Month/Day

December 31

## CONTACT PERSON INFORMATION

The designated contact person **MUST** be an Officer of the Corporation

Name of Contact Person

Ms. Ellen V. Almodiel

Email Address

ellena@rockwell.com.ph

Telephone Number/s

7-793-0088

Mobile Number

N/A

Contact Person's Address

Ground Floor, East Podium, Joya Lofts & Towers, 28 Plaza Drive, Rockwell Center, Makati City 1200

**Note:** In case of death, resignation or cessation of office of the officer designated as contact person, such incident shall be reported to the Commission within thirty (30) calendar days from the occurrence thereof with information and complete contact details of the new contact person designated.



ROCKWELL LAND

December 26, 2019

**SECURITIES AND EXCHANGE COMMISSION**

Secretariat Building, PICC Complex  
Roxas Boulevard, Metro Manila

Ladies and Gentlemen:

In compliance with SEC Memorandum Circular No. 20, series of 2013, we hereby submit a photocopy each of the certificates of attendance for Corporate Governance Seminars of the directors and key officers of Rockwell Land Corporation as indicated below:

	<i>Name</i>	<i>Position/Title</i>	<i>Seminar Provider, Date &amp; Venue</i>
1.	<b>Amb. Manuel M. Lopez</b>	Chairman of the Board	Center for Global Practices, April 5, 2019 The Penthouse, 6/F Coyiuto House, 119 C. Palanca St. Lagaspi Villa., Makati City
2.	<b>Federico R. Lopez</b>	Vice Chairman	Philippine Corporate Enhancement & Governance, Inc. October 22, 2019 Dusit Thani Hotel, Makati City
3.	<b>Eugenio L. Lopez III</b>	Director	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
4.	<b>Nestor J. Padilla</b>	Director & President	Philippine Corporate Enhancement & Governance, Inc. October 22, 2019 Dusit Thani Hotel, Makati City
5.	<b>Miguel Ernesto L. Lopez</b>	Director & Treasurer	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
6.	<b>Jose Valentin Pantangco Jr.</b>	Director	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
7.	<b>Francis Giles B. Puno</b>	Director	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
8.	<b>Monico V. Jacob</b>	Independent Director	Risks, Opportunities, Assessment and Management (ROAM), Inc. March 15, 2019 Phoenix Petroleum, Headquarters, Lanang, Davao City



# ROCKWELL LAND

9.	<b>Oscar J. Hilado</b>	Independent Director	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
10.	<b>Atty. Enrique I. Quiason</b>	Corporate Secretary	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
11.	<b>Atty. Esmeraldo C. Amistad</b>	Assistant Corporate Secretary	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
12.	<b>Manuel L. Lopez, Jr.</b>	Board Adviser	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
13.	<b>Valerie Jane L. Soliven</b>	Executive Vice President, Chief Revenue Officer	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
14.	<b>Ma. Lourdes L. Pineda</b>	Senior Vice President, Rockwell Primaries	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
15.	<b>Ellen V. Almodiel</b>	Executive Vice President, Chief Finance and Compliance Officer	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
16.	<b>Davy T. Tan</b>	Senior Vice President, Business Development	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
17.	<b>Estela Y. Dasmariñas</b>	Vice President, Human Resources	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
18.	<b>Adela D. Flores</b>	Vice President, Property Management	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
19.	<b>Angela Marie B. Pagulayan</b>	Vice President, Hotel & leisure Development	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
20.	<b>Jovie Jade V. Lim-Dy</b>	Vice President, Residential Sales	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City
21.	<b>Christine T. Coqueiro</b>	Vice President, Retail Development	Institute of Corporate Directors September 6, 2019 The Loft at Mananasala, Rockwell Center, Makati City

✓



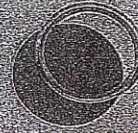
CENTER FOR  
GLOBAL BEST PRACTICES  
(SEC Provider Accreditation Number CG2018-002)

*in collaboration with*



**Prudential  
Guarantee**

INSURING GROWTH



PGA Sampo Insurance Corporation

*presents this*

# CERTIFICATE OF ATTENDANCE

*to*

**Manuel M. Lopez**

*for participating in the training program*

## **CORPORATE GOVERNANCE: AMLA for Insurance Companies and Financial Institutions**

*held on Friday, April 5, 2019, at*

**THE PENTHOUSE, 6/F COYUTO HOUSE, 119 C. PALANCA STREET  
LEGASPI VILLAGE, MAKATI CITY, PHILIPPINES**

*given this 5<sup>th</sup> day of April 2019*

*Henry Baltesca Aruente*  
Founder & President  
Center for Global Best Practices

*Atty. Daisy S. Spejo-Dizon*  
Resource Speaker  
Center for Global Best Practices

1155 South Corporate Tower, 11/F, Alibang-Bang Road, Marikina City, Philippines | Manila Office: 02-887-7113 | 02-855-3381 / 69 | Cebu Office: (032) 312-3116 / 0701111111 | Davao Office: (081) 851-0800 | Philippines  
Manila Office: 02-887-7113 | 02-855-3381 / 69 | Cebu Office: (032) 312-3116 / 0701111111 | Davao Office: (081) 851-0800 | Website: www.cgblp.org

Philippine Corporate Enhancement & Governance, Inc.  
 6th Flr. Angelus Plaza, 104 V.A. Rufino St., Legaspi Village  
 Makati City, Philippines  
 Tel No. 957-9990 – Cellphone No. 0917-877-3156  
 Email: phil.CEGI@yahoo.com

**CERTIFICATE OF ATTENDANCE**

This is to certify that **FEDERICO R. LOPEZ**  
*(Name of Participant)*  
**Chairman & CEO** of **First Philippine Holdings Corporation**  
*(Designation)* *(Name of Company)*  
 has attended the **CORPORATE GOVERNANCE SEMINAR**  
*(Title of Seminar)*  
 held at Dusit Thani Hotel, Ayala Center, Makati City, Philippines, on October 22, 2019, with the following particulars:

	TOPICS	SPEAKERS
01:00 - 03:00	<b>IT GOVERNANCE AND DIGITAL SECURITY RISK MANAGEMENT IN CORPORATE GOVERNANCE</b>  1. <i>Digital Security Risk Management in Corporate Governance;</i> 2. <i>Information Technology (IT) Governance and the Role of the Board of Directors;</i> 3. <i>Corporate Cases Involving Digital Security Risks;</i> 4. <i>What Regulators Do in the Face of Cyber Threats.</i>	<b>MRS. CATHERINE N. SAPLALA</b>  <i>Former Director            Investments &amp; Research Dept.            Securities and Exchange Commission (SEC)</i>  <i>BAPA, MBA, MA Research            Communications</i>
03:15 - 05:30	<b>RISK AND GOVERNANCE</b>  1. <i>Overview on Risk Management;</i> 2. <i>Risk Assessment Framework;</i> 3. <i>Developing a Risk Strategy;</i> 4. <i>Board Directors and Risk Management;</i> 5. <i>Ethical Dimension of Risk Management</i>	<b>MRS. MERCEDES B. SULEIK</b>  <i>Former Deputy Director, Bangko Sentral ng Pilipinas (BSP)</i>  <i>Former Vice-President, DBP</i>  <i>B.S.C., C.P.A., M.A. Economics,            Ph.D. (cand.)</i>  <i>Fellow, Institute of Corporate Directors (ICD)</i>

Given this 22<sup>nd</sup> day of October, 2019, at Makati City, Philippines

  
**ATTY. FIDEL V. ESQUIERES**  
 President



# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**EUGENIO LOPEZ III**

ABS-CBN CORPORATION

for having completed

### Advanced Corporate Governance Training

held on

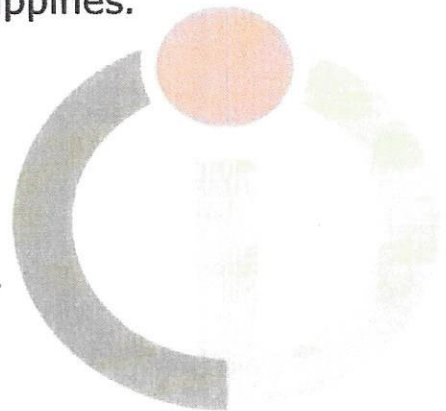
Friday, 6 September 2019

at The Loft at Manansala,

Makati City, Metro Manila, Philippines.



Alfredo E. Pascual  
*Chief Executive Officer*



**Philippine Corporate Enhancement & Governance, Inc.**  
 6th Flr. Angelus Plaza, 104 V.A. Rufino St., Legaspi Village  
 Makati City, Philippines  
 Tel No. 957-9990 – Cellphone No. 0917-877-3156  
 Email: phil.CEGI@yahoo.com

**CERTIFICATE OF ATTENDANCE**

This is to certify that

**Senior Vice President**  
*(Designation)*

of

**NESTOR J. PADILLA**  
*(Name of Participant)*  
**First Philippine Holdings Corporation**  
*(Name of Company)*

has attended the

**CORPORATE GOVERNANCE SEMINAR**  
*(Title of Seminar)*

held at Dusit Thani Hotel, Ayala Center, Makati City, Philippines, on October 22, 2019, with the following particulars:

	TOPICS	SPEAKERS
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Given this 22<sup>nd</sup> day of October, 2019, at Makati City, Philippines

  
**ATTY. FIDEL V. ESQUIERES**  
 President



# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**MIGUEL L. LOPEZ**

LOPEZ HOLDINGS CORPORATION

for having completed

**Advanced Corporate  
Governance Training**

held on

Friday, 6 September 2019

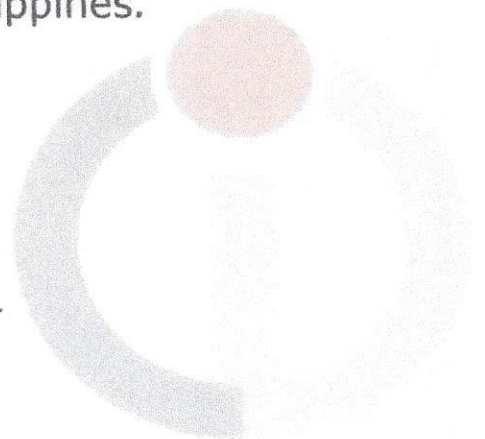
at The Loft at Manansala,

Makati City, Metro Manila, Philippines.

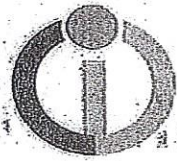


Alfredo E. Pascual

*Chief Executive Officer*







**Institute of  
Corporate Directors**

presents this

**Certificate of Participation**

to

**JOSE VALENTIN A. PANTANGCO, JR.**  
FIRST PHILIPPINE HOLDINGS CORPORATION

for having completed

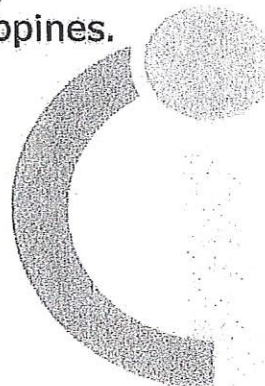
**Advanced Corporate  
Governance Training**

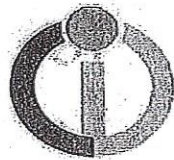
held on

Friday, 6 September 2019  
at The Loft at Manansala,  
Makati City, Metro Manila, Philippines.



Alfredo E. Pascual  
Chief Executive Officer





**Institute of  
Corporate Directors**

presents this

**Certificate of Participation**

to

**FRANCIS GILES B. PUNO**

FIRST PHILIPPINE HOLDINGS CORPORATION

for having completed

**Advanced Corporate  
Governance Training**

held on

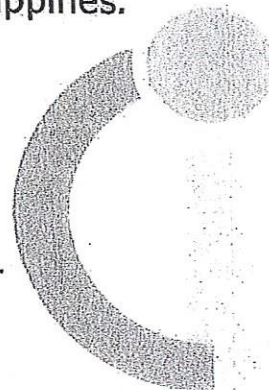
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at The Loft at Manansala,

Makati City, Metro Manila, Philippines.



Alfredo E. Pascual  
*Chief Executive Officer*





Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

## CERTIFICATE OF COMPLETION

to

*Monico V. Jacob*

for having completed the seminar on

## CORPORATE GOVERNANCE

held on 15 March 2019 at  
Phoenix Petroleum Headquarters, Lanang, Davao City

**Benjamin I. Espiritu, Ph.D.**  
President



# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**OSCAR J. HILADO**

ROCKWELL LAND CORPORATION

for having completed

**Advanced Corporate  
Governance Training**

held on

Friday, 6 September 2019

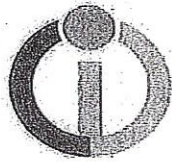
at The Loft at Manansala,

Makati City, Metro Manila, Philippines.



Alfredo E. Pascual  
Chief Executive Officer





**Institute of  
Corporate Directors**

presents this

**Certificate of Participation**

to

**ENRIQUE I. QUIASON**

**LOPEZ HOLDINGS CORPORATION**

for having completed

**Advanced Corporate  
Governance Training**

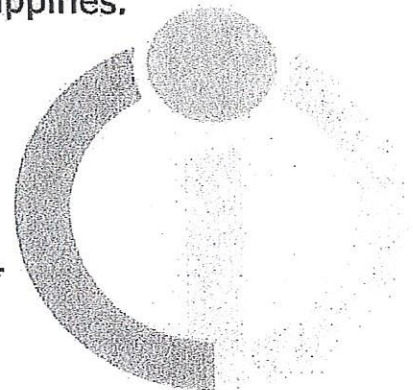
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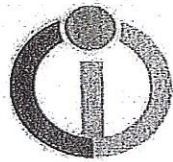
Friday, 6 September 2019

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**Alfredo E. Pascual**  
*Chief Executive Officer*





**Institute of  
Corporate Directors**

presents this

**Certificate of Participation**

to

**ESMERALDO C. AMISTAD**

**FIRST PHILIPPINE HOLDINGS CORPORATION**

for having completed

**Advanced Corporate  
Governance Training**

held on

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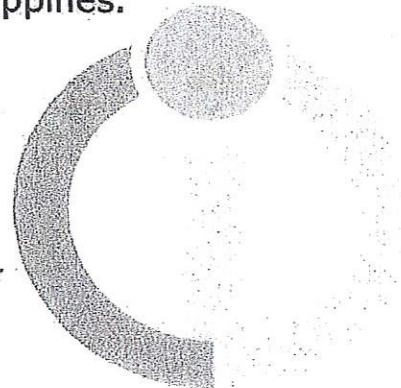
at The Loft at Manansala,

Makati City, Metro Manila, Philippines.



Alfredo E. Pascual

*Chief Executive Officer*





# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**MANUEL L. LOPEZ, JR.**

ROCKWELL LAND CORPORATION

for having completed

### Advanced Corporate Governance Training

held on

Friday, 6 September 2019

at The Loft at Manansala,

Makati City, Metro Manila, Philippines.



Alfredo E. Pascual  
*Chief Executive Officer*





# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**VALERIE JANE L. SOLIVEN**

ROCKWELL LAND CORPORATION

for having completed

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Makati City, Metro Manila, Philippines.



Alfredo E. Pascual  
*Chief Executive Officer*







# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**MA. LOURDES L. PINEDA**

ROCKWELL LAND CORPORATION

for having completed

### Advanced Corporate Governance Training

held on

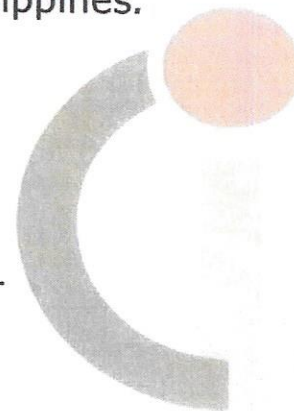
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Alfredo E. Pascual

Chief Executive Officer





# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**ELLEN V. ALMODIEL**

ROCKWELL LAND CORPORATION

for having completed

### Advanced Corporate Governance Training

held on

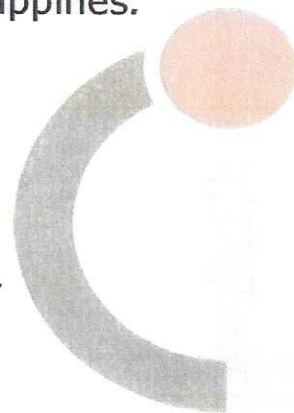
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Alfredo E. Pascual  
*Chief Executive Officer*





# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**DAVY T. TAN**

ROCKWELL LAND CORPORATION

for having completed

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Alfredo E. Pascual  
Chief Executive Officer





# Institute of Corporate Directors

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## Certificate of Participation

to

**ESTELA Y. DASMARIÑAS**

ROCKWELL LAND CORPORATION

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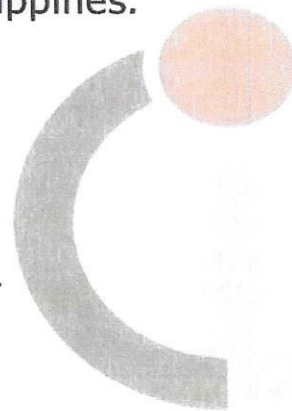
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Alfredo E. Pascual  
*Chief Executive Officer*





# Institute of Corporate Directors

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## Certificate of Participation

to

**ANGELA MARIE B. PAGULAYAN**

ROCKWELL LAND CORPORATION

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### Advanced Corporate Governance Training

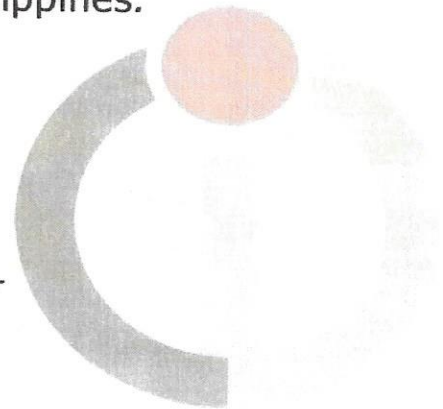
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Makati City, Metro Manila, Philippines.



Alfredo E. Pascual  
Chief Executive Officer





# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**JOVIE JADE V. LIM-DY**

ROCKWELL LAND CORPORATION

for having completed

### Advanced Corporate Governance Training

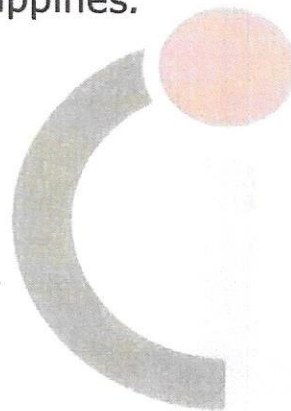
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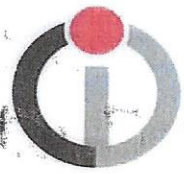
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Makati City, Metro Manila, Philippines.



Alfredo E. Pascual  
Chief Executive Officer





# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**CHRISTINE T. COQUEIRO**

ROCKWELL LAND CORPORATION

for having completed

### Advanced Corporate Governance Training

held on

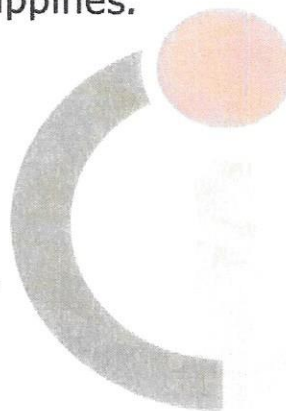
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Makati City, Metro Manila, Philippines.



Alfredo E. Pascual  
*Chief Executive Officer*





# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**JESSE S. TAN**

ROCKWELL LAND CORPORATION

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### Advanced Corporate Governance Training

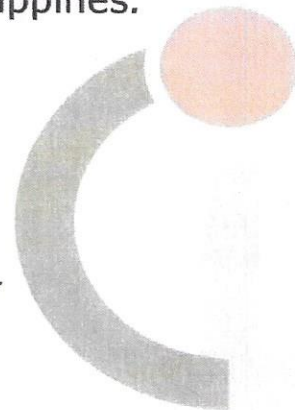
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Makati City, Metro Manila, Philippines.



Alfredo E. Pascual  
Chief Executive Officer







# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**GERALDINE B. BRILLANTES**

ROCKWELL LAND CORPORATION

for having completed

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held on

Friday, 6 September 2019

at The Loft at Manansala,

Makati City, Metro Manila, Philippines.



Alfredo E. Pascual

*Chief Executive Officer*



# Institute of Corporate Directors

presents this

## Certificate of Participation

to

**ROMEO G. DEL MUNDO**

ROCKWELL LAND CORPORATION

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Alfredo E. Pascual

*Chief Executive Officer*