

# COVER SHEET

SEC Registration Number

6	2	8	9	3															
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Company Name

R	O	C	K	W	E	L	L		L	A	N	D		C	O	R	P	O	R	A	T	I	O	N		A	N	D	
S	U	B	S	I	D	I	A	R	I	E	S																		

Principal Office (No./Street/Barangay/City/Town/Province)

2	F	,	8		R	O	C	K	W	E	L	L	,		H	I	D	A	L	G	O		D	R	I	V	E		
R	O	C	K	W	E	L	L		C	E	N	T	E	R	,		M	A	K	A	T	I		C	I	T	Y		

Form Type

2	3	-	A
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Department requiring the report

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Secondary License Type, If Applicable

N	/	A
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## COMPANY INFORMATION

Company's Email Address

<b>ellena@rockwell.com.ph</b>
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Company's Telephone Number/s

<b>7-793-0088</b>
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Mobile Number

<b>N/A</b>
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No. of Stockholders

<b>46,230</b> (as of 30 SEPTEMBER 2020)
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Annual Meeting  
Month/Day

<b>August 28</b>
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Fiscal Year  
Month/Day

<b>December 31</b>
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## CONTACT PERSON INFORMATION

The designated contact person **MUST** be an Officer of the Corporation

Name of Contact Person

<b>Ms. Ellen V. Almodiel</b>
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Email Address

<b>ellena@rockwell.com.ph</b>
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Telephone Number/s

<b>7-793-0088</b>
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Mobile Number

<b>N/A</b>
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Contact Person's Address

<b>Ground Floor, East Podium, Joya Lofts &amp; Towers, 28 Plaza Drive, Rockwell Center, Makati City 1200</b>
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**Note:** In case of death, resignation or cessation of office of the officer designated as contact person, such incident shall be reported to the Commission within thirty (30) calendar days from the occurrence thereof with information and complete contact details of the new contact person designated.

REPUBLIC OF THE PHILIPPINES )  
\_\_\_\_\_ ) S.S.

**CERTIFICATION**

I, **ESMERALDO C. AMISTAD**, Assistant Corporate Secretary of **Rockwell Land Corporation** with SEC Registration No. 62893 and with principal office address at the **2<sup>nd</sup> Floor 8 Rockwell, Hidalgo Drive, Rockwell Center, Makati City, Metro Manila**, on oath state:

1. That on behalf of Rockwell Land Corporation (the "Company") I have caused the preparation of the  
  
SEC Form 23-A Initial Statement of Beneficial Ownership of Securities for Mr. Benjamin R. Lopez dated 30 September 2020;
2. That I read and understood the contents which are true and correct of my own personal knowledge and/or based on true records;
3. That the Company will comply with the requirements set forth in the SEC Notice dated 24 June 2020 for a complete and official submission of reports and/or documents through electronic mail; and
4. That I am fully aware that documents filed online which requires pre-evaluation and/or processing fee shall be considered complete and officially received only upon payment of a filing fee.


IN WITNESS WHEREOF, I have hereunto set my hand this October 6, 2020.

  
**ESMERALDO C. AMISTAD**  
Affiant

**SUBSCRIBED AND SWORN** to before me this OCT 07 2020 at Makati City, affiant exhibiting to me his Competent Evidence of Identity ("CEI") as follows:

<u>Name</u>	<u>Details of CEI</u>	<u>Issued at</u>	<u>Issued On</u>
Esmeraldo C. Amistad	DL#N02-90-146326	Quezon City	09-03-2022

Doc. No. 452 ;  
Page No. 92 ;  
Book No. XV ;  
Series of 2020.

  
**MA. FE CAROLYN GO PINOY**  
Notary Public for Makati City  
Appointment No. M-330 until December 31, 2020  
Roll of Attorneys No. 39698  
IBP Lifetime No. 014554/ Zambasuita  
PTR No. 8138670/ 01.14.2020/ Makati City  
MCLE Compliance No. VI-0025366  
8 Rockwell, Hidalgo Drive, Makati City

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

**FORM 23-A**

REVISED

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of Reporting Person <b>Lopez, Benjamin Ernesto R.</b>		2. Date of Event Requiring Statement (Month/Day/Year) September 30, 2020		5. Issuer Name and Trading Symbol Rockwell Land Corporation (ROCK)	
(Last) (First) (Middle) 674 Notre Dame St., Wack Wack Village,		3. Tax Identification Number 169-830-430		6. Relationship of Reporting Person to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)	
(Street) Mandaluyong City		4. Citizenship Filipino		7. If Amendment, Date of Original (Month/Day/Year)	
(City) (Province) (Postal Code)		<b>Table 1 - Equity Securities Beneficially Owned</b>			
1. Class of Equity Security	2. Amount of Securities Beneficially Owned		3. Ownership Form: Direct (D) or Indirect (I) *	4. Nature of Indirect Beneficial Ownership	
	%	Number			
Common	0	1	D		

**If the reporting person previously owned 5% or more but less than 10%, provide the disclosure requirements set forth on page 3 of this Form.**

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.  
(Print or Type Responses)

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

